

# Breaking reciprocity through optomechanical interactions

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[www.optomechanics.nl](http://www.optomechanics.nl)

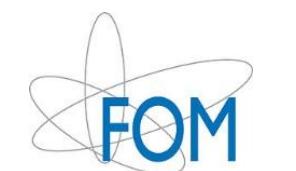


\* UT Texas at Austin

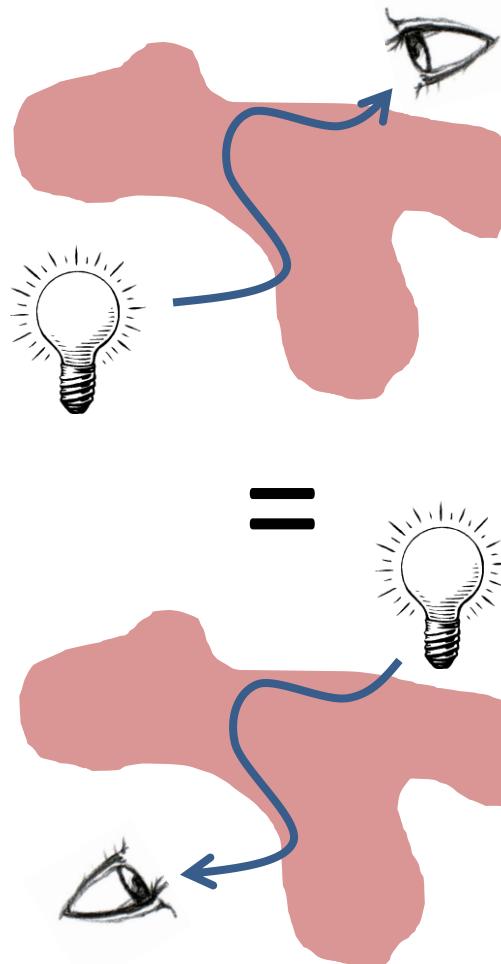
Erice, August 2016



 FOM Institute  
AMOLF



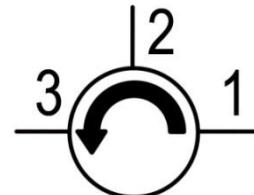
# Lorentz reciprocity in optics



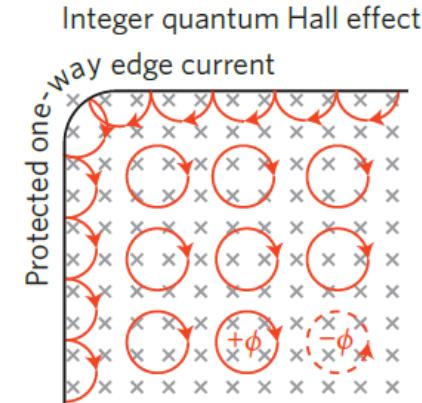
- Reciprocity: transmission through system is equal when source and detector are interchanged
- Rooted in:
  - Linearity
  - Time-reversal symmetry

# Lorentz reciprocity in optics

- **Nonreciprocal elements:** Isolators, nonreciprocal phase shifters, circulators



- **Nonreciprocal materials:** quantum Hall effect, topological insulator

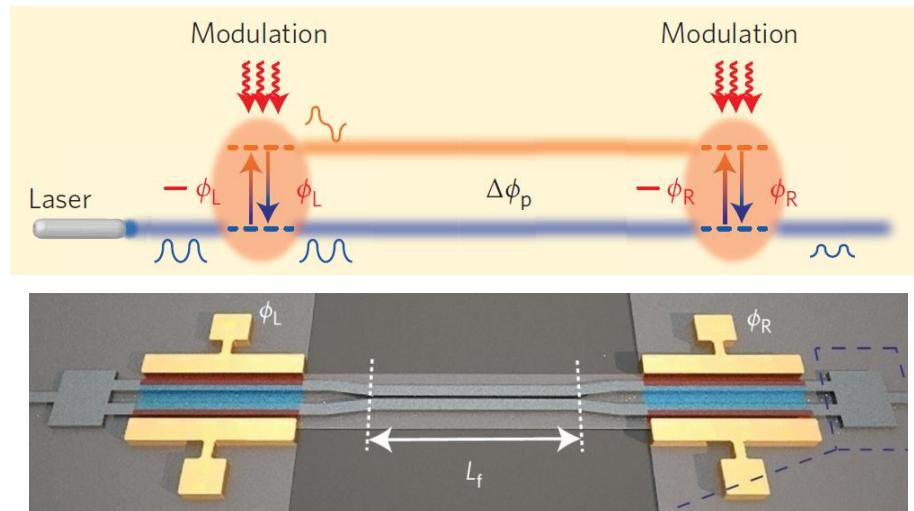


Lu, Joannopoulos, Soljacic, *Topological photonics*, *Nature Photon.* 8, 821 (2014)

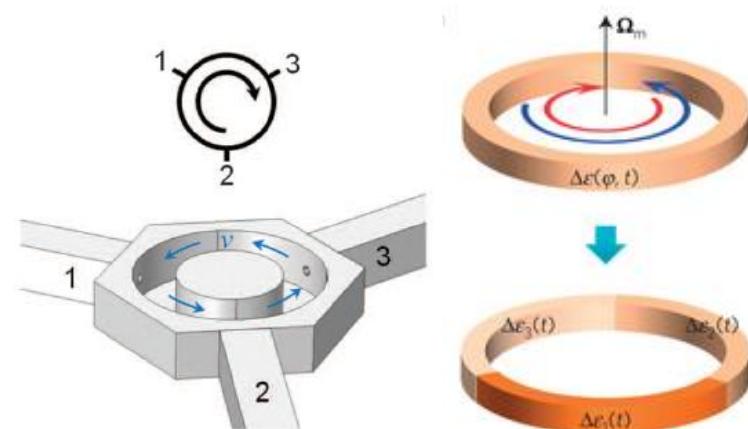
# Breaking reciprocity

Breaking time-reversal symmetry through temporal modulation

Fang, Yu, Fan, *Phys. Rev. Lett.* 108, 153901 (2012), *Nature Photon.* 6, 782 (2012)



Lipson, Fan, *Nature Photon.* 2014

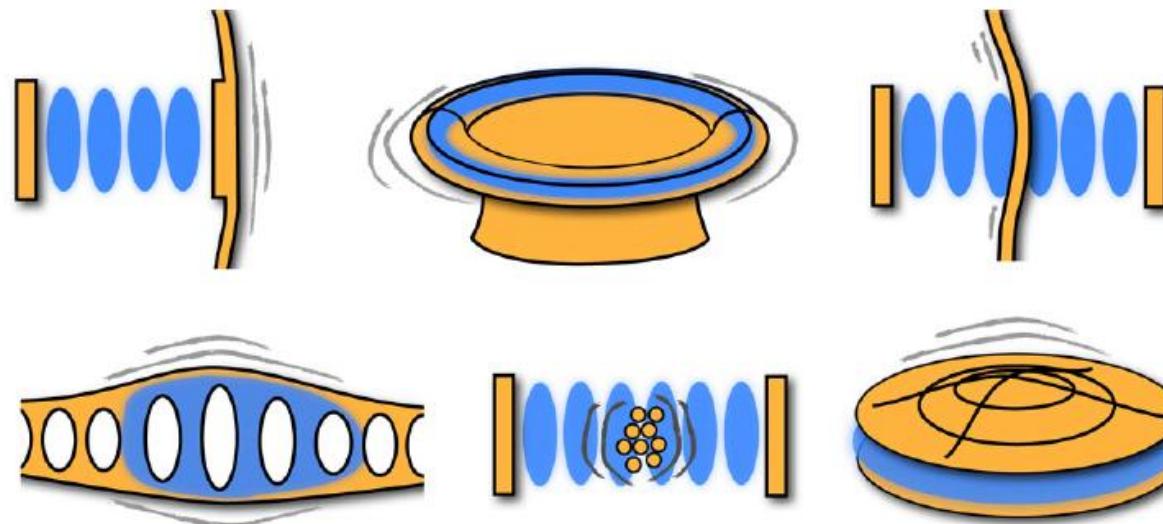


Alù, *Science* 2014, *Nature Phys.* 2014

# Breaking reciprocity

Breaking time-reversal symmetry through temporal modulation

*... using optomechanical interactions?*



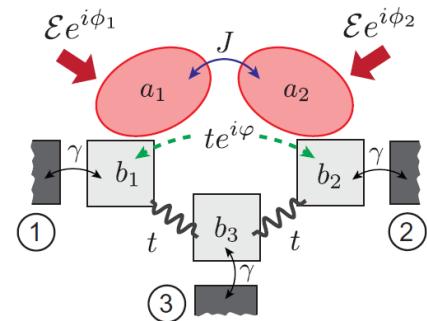
*image: Aspelmeyer et al., Rev. Mod. Phys. 86, 1391 (2014)*

# Breaking reciprocity

Breaking time-reversal symmetry through temporal modulation  
*... using optomechanical interactions?*

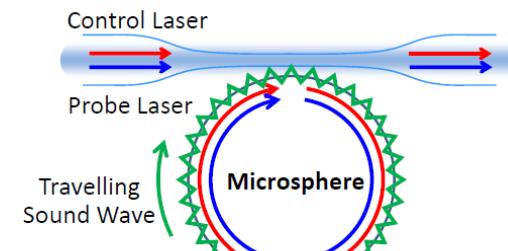
## Mode conversion:

- Habraken et al., New J. Phys. 14, 115004 (2012)  
Peano et al., Phys. Rev. X 5, 031011 (2015)  
Schmidt et al., Optica 2, 635 (2015)



## Ring resonators:

- Hafezi & Rabl, Opt. Express 20, 7672 (2012)  
Kim et al., Nature Phys. 11, 275 (2015)  
Shen et al., arXiv:1604.02297 (2016)

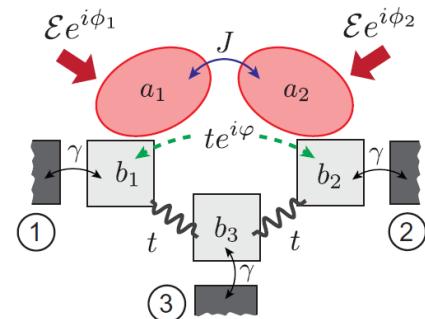


# Breaking reciprocity

Breaking time-reversal symmetry through temporal modulation  
*... using optomechanical interactions?*

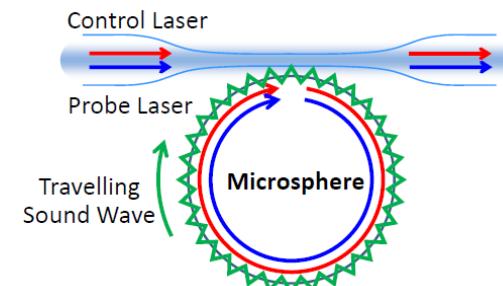
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## This work:

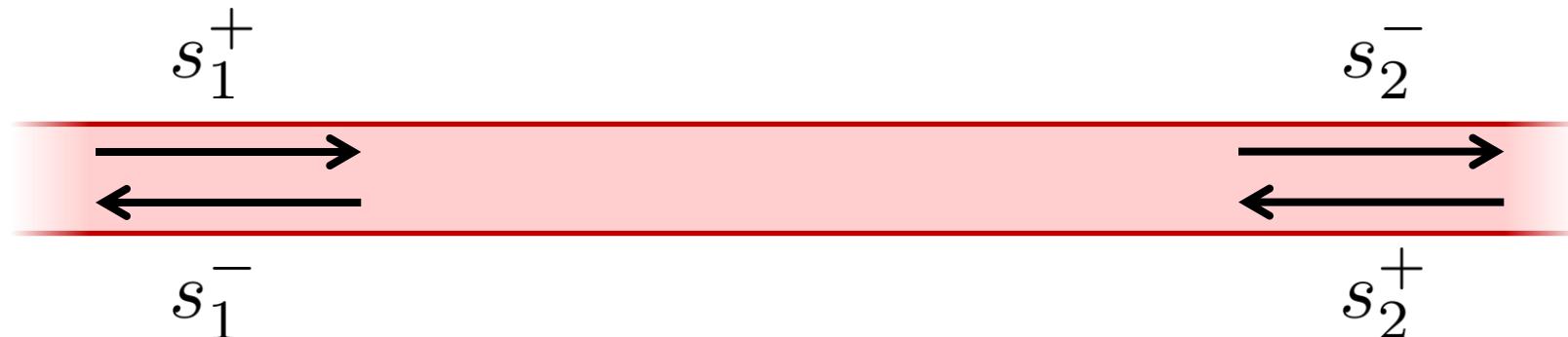
- Minimal requirements for optomechanical nonreciprocity
- Quantitative nonreciprocal transmittance measurements

# Nonreciprocity and the S matrix

**Nonreciprocity requires an asymmetric scattering matrix  $S$**

$$\begin{pmatrix} s_1^- \\ s_2^- \end{pmatrix} = S \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix} \quad S = \begin{pmatrix} s_{11} & s_{12} \\ s_{21} & s_{22} \end{pmatrix}$$

$$s_{12} = -s_{21}$$



# Nonreciprocity and the S matrix

**Nonreciprocity requires an asymmetric scattering matrix  $S$**

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$$\underline{s_{12} \neq s_{21}}$$

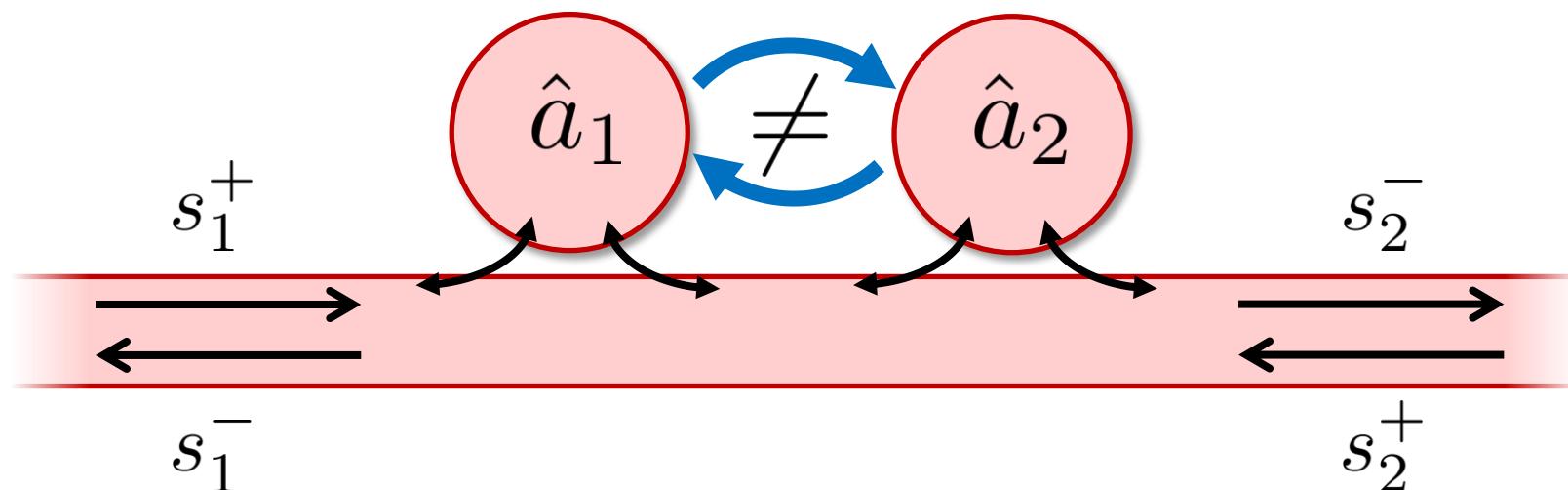
Create asymmetry



# Nonreciprocity in a two mode system

**Nonreciprocity requires an asymmetric scattering matrix S**

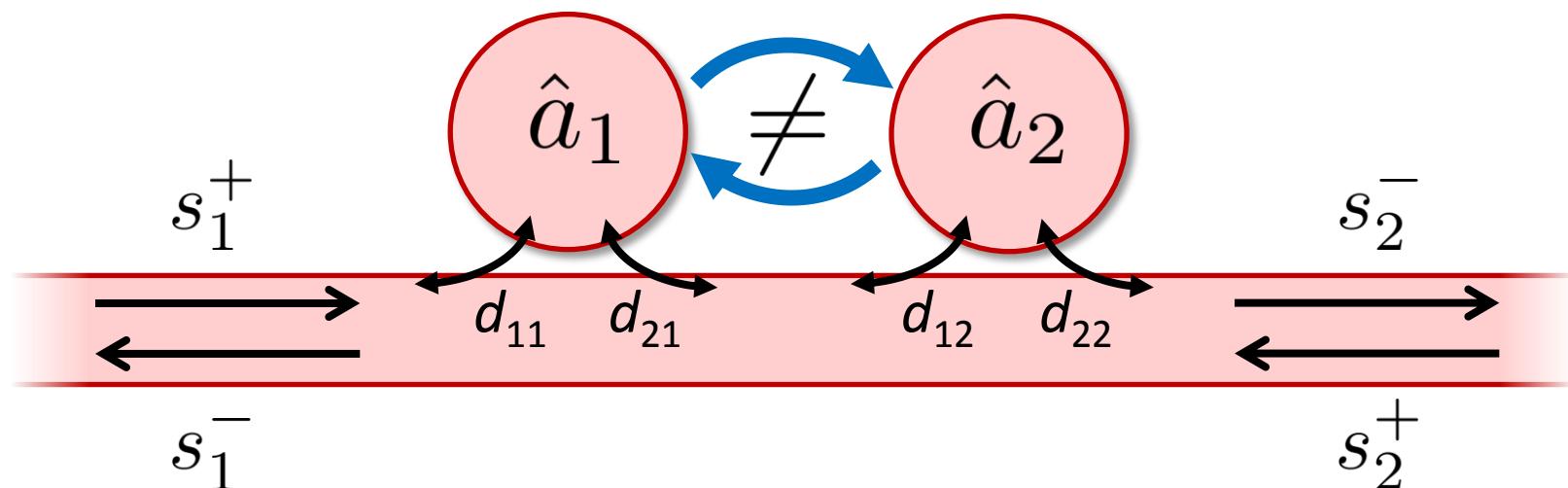
$$\begin{pmatrix} s_1^- \\ s_2^- \end{pmatrix} = S \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix}$$



# Nonreciprocity in a two mode system

Coupled mode theory describes coupling to ports:

$$\frac{d}{dt} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = i\mathcal{M} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} + D^T \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix} \quad \begin{pmatrix} s_1^- \\ s_2^- \end{pmatrix} = C \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix} + D \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$



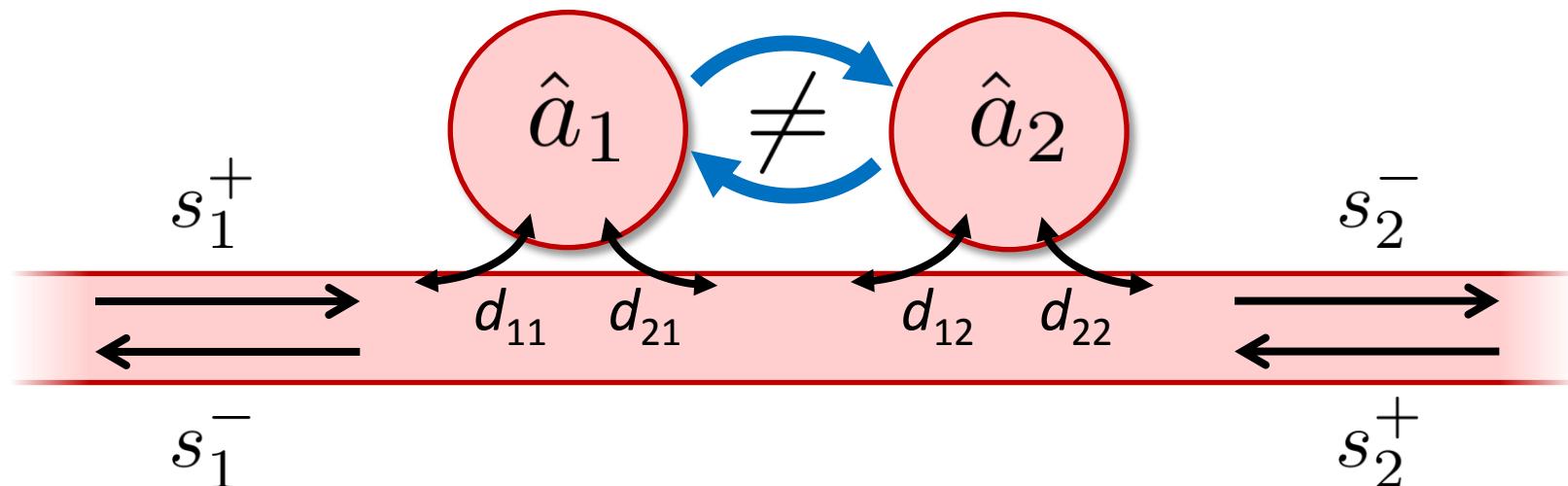
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yields scattering matrix:

$$\begin{pmatrix} s_1^- \\ s_2^- \end{pmatrix} = S \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix} \quad S = C + iD(M + \omega I)^{-1}D^T$$



# Nonreciprocity in a two mode system

Coupled mode theory describes coupling to ports:

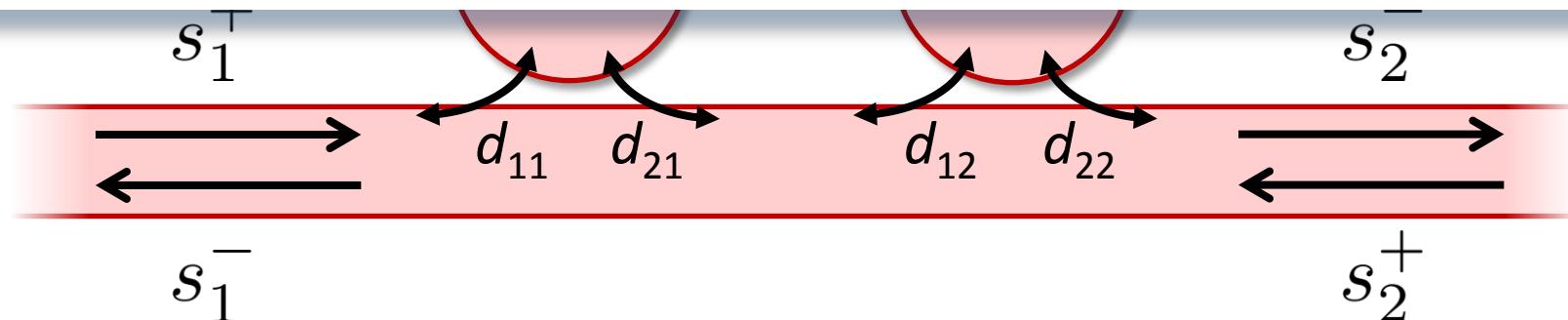
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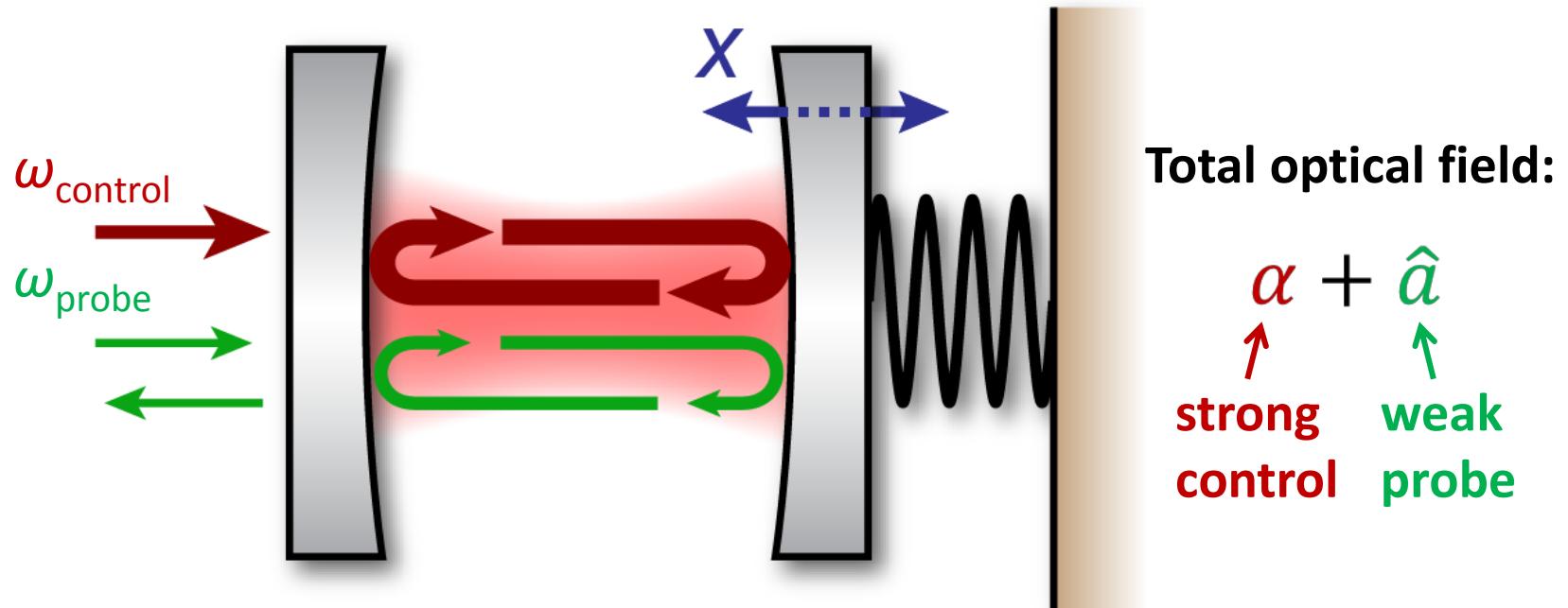
*asymmetric optical system*

*nonreciprocal mode coupling*

$$S_{21} - S_{12} = i \det D \frac{(m_{12} - m_{21})}{\det(M + \omega I)}$$



# Cavity Optomechanics



Linear coupling between optical probe and mechanical resonator:

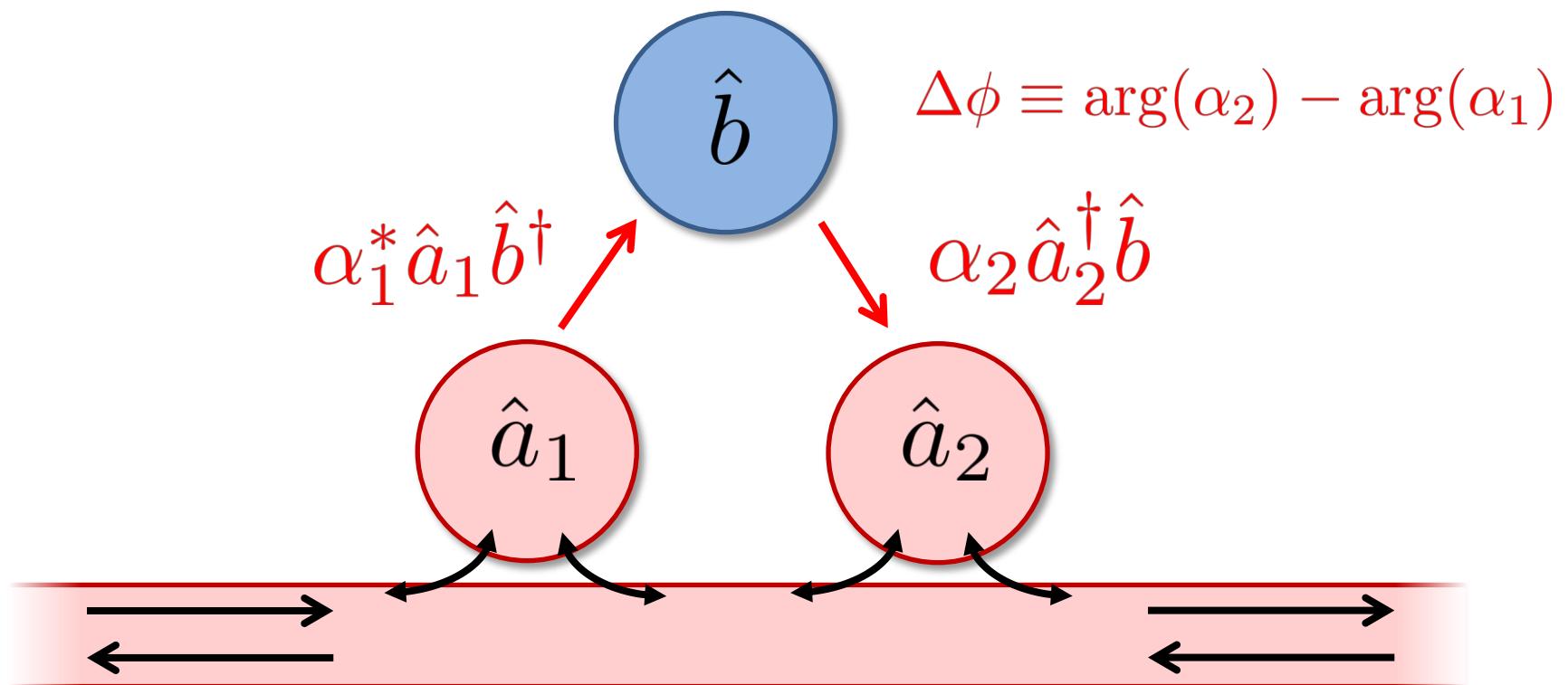
$$\hat{H}_{\text{int}} = \hbar G x_{\text{zpf}} (\alpha \hat{a}^\dagger \hat{b} + \alpha^* \hat{a} \hat{b}^\dagger)$$

# Nonreciprocal optomechanical phase

$$\hat{H}_{\text{int}} = \hbar G x_{\text{zpf}} (\alpha_1 \hat{a}_1^\dagger \hat{b} + \alpha_1^* \hat{a}_1 \hat{b}^\dagger + \alpha_2 \hat{a}_2^\dagger \hat{b} + \alpha_2^* \hat{a}_2 \hat{b}^\dagger)$$

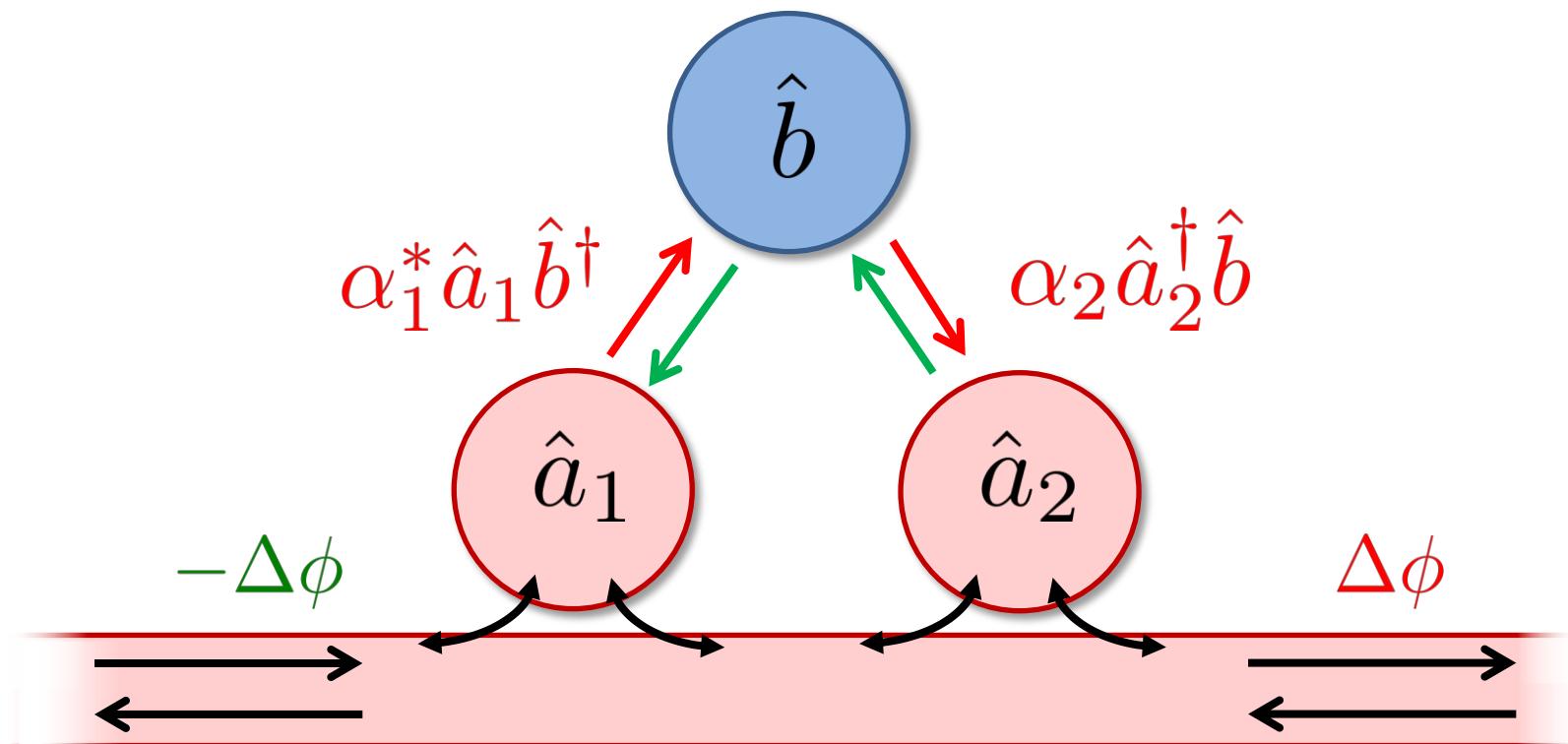
# Nonreciprocal optomechanical phase

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# Nonreciprocal optomechanical phase

$$\hat{H}_{\text{int}} = \hbar G x_{\text{zpf}} (\alpha_1 \hat{a}_1^\dagger \hat{b} + \alpha_1^* \hat{a}_1 \hat{b}^\dagger + \alpha_2 \hat{a}_2^\dagger \hat{b} + \alpha_2^* \hat{a}_2 \hat{b}^\dagger)$$



*Optimal nonreciprocity when two optical modes are driven at  $\pi/2$*

# Optomechanical nonreciprocity

Optomechanics       $\longleftrightarrow$       Coupled-Mode Theory

## Equations of motions

$$\frac{d}{dt} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = i \begin{pmatrix} \bar{\Delta}_1 + i\kappa_1/2 & 0 \\ 0 & \bar{\Delta}_2 + i\kappa_2/2 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} + i \begin{pmatrix} g_1(b + b^\dagger) \\ g_2(b + b^\dagger) \end{pmatrix} + D^T \begin{pmatrix} s_1^+ \\ s_2^+ \end{pmatrix}$$

$$\frac{d}{dt} b = (-i\Omega_m - \Gamma_m/2) b + i(g_1^* a_1 + g_1 a_1^\dagger + g_2^* a_2 + g_2 a_2^\dagger)$$

Solve in Fourier Domain

# Optomechanical nonreciprocity

Optomechanics       $\longleftrightarrow$       Coupled-Mode Theory

$$M + \omega I = \begin{pmatrix} \Sigma_{o_1} \mp \frac{|g_1|^2}{\Sigma_m^\pm} & \mp \frac{g_1 g_2^*}{\Sigma_m^\pm} \\ \mp \frac{g_1^* g_2}{\Sigma_m^\pm} & \Sigma_{o_2} \mp \frac{|g_2|^2}{\Sigma_m^\pm} \end{pmatrix}$$

$$S_{21} - S_{12} = \frac{i \det(D)(m_{12} - m_{21})}{\det(M + \omega I)}$$

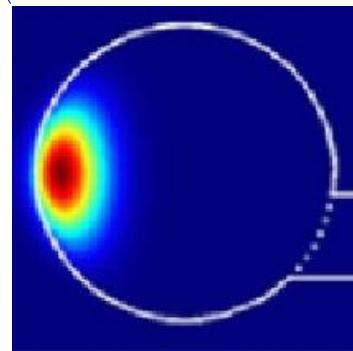
Importantly  $m_{12} - m_{21} \propto \sin \Delta\phi$

With  $\Delta\phi$  the difference between drive phases

# Microtoroidal optomechanical resonators

Optical whispering gallery mode

$$\hat{a}_{cw} = (\hat{a}_1 + i\hat{a}_2)/\sqrt{2}$$



Optical frequency:

200 THz

Optical Finesse:

$10^5 - 10^6$

Optical quality factor:

$10^7 - 10^8$

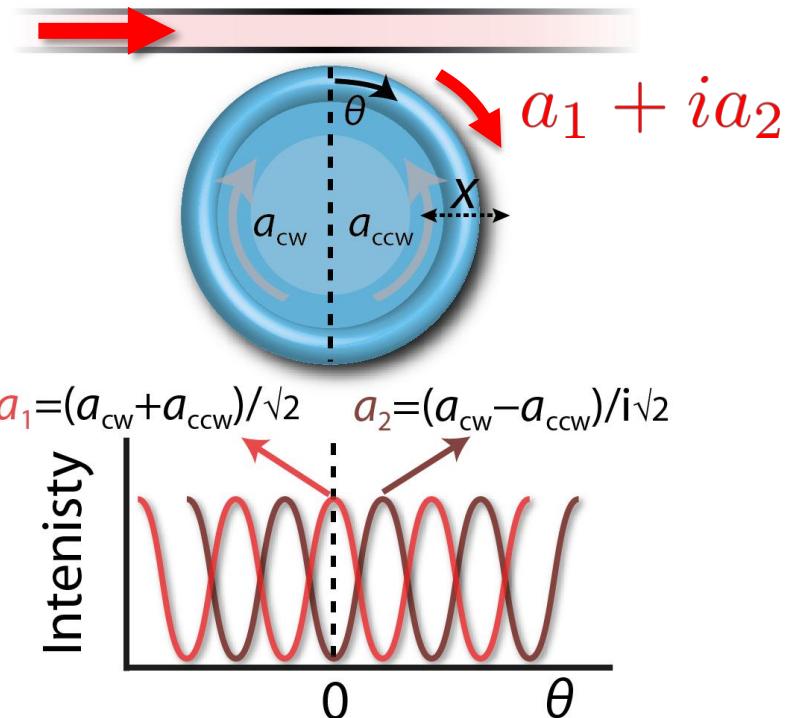
Mechanical radial breathing mode



Mechanical frequency:  $\sim 50$  MHz  
Mechanical quality factor:  $10^3 - 10^4$

# Control field phase difference

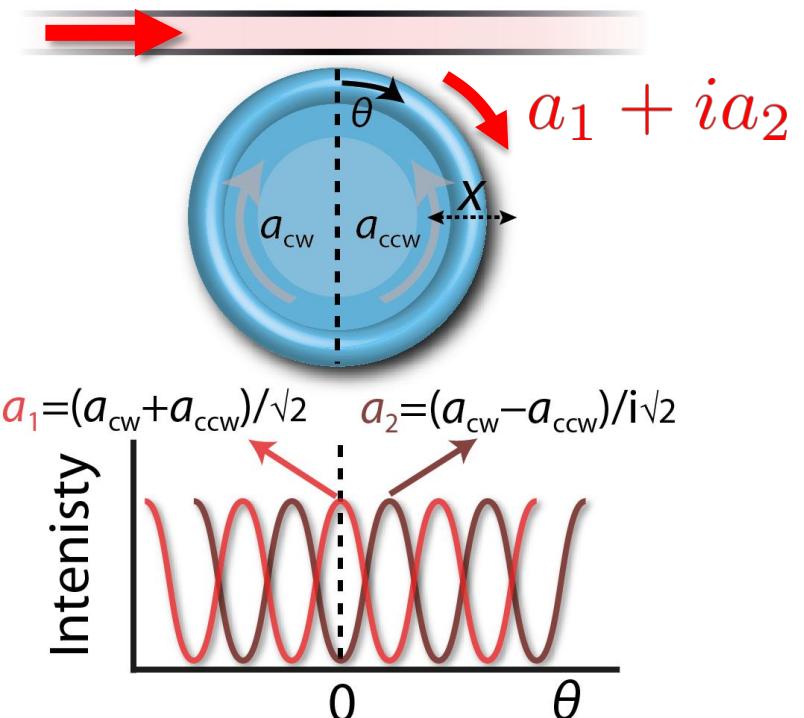
- Even and odd optical modes ( $a_1, a_2$ ) in ring; superpositions of rotating waves
- Even/odd basis preferred (reciprocity)



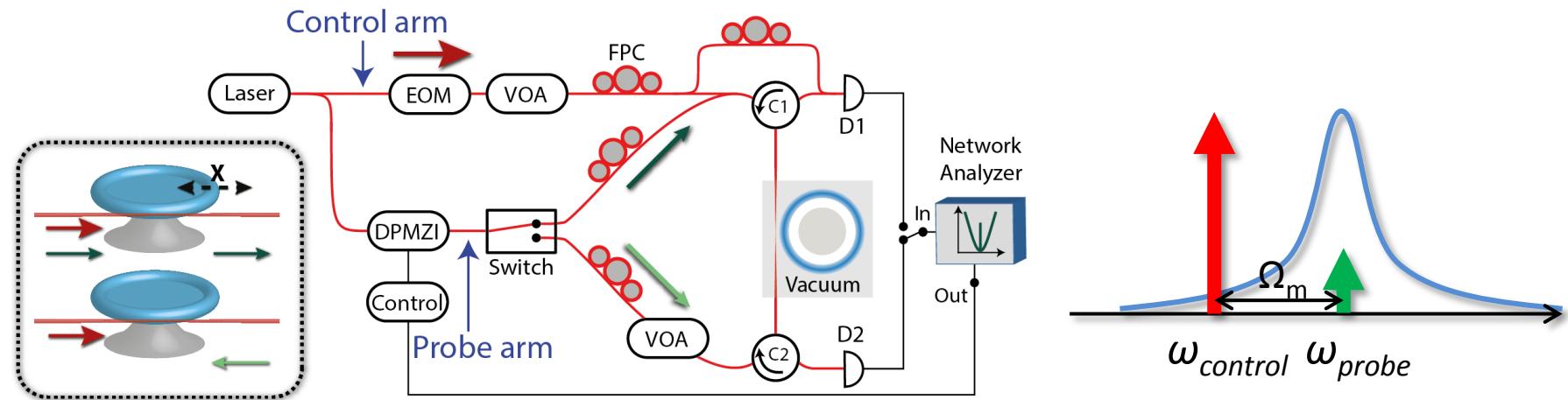
# Control field phase difference

- Even and odd optical modes ( $a_1, a_2$ ) in ring; superpositions of rotating waves
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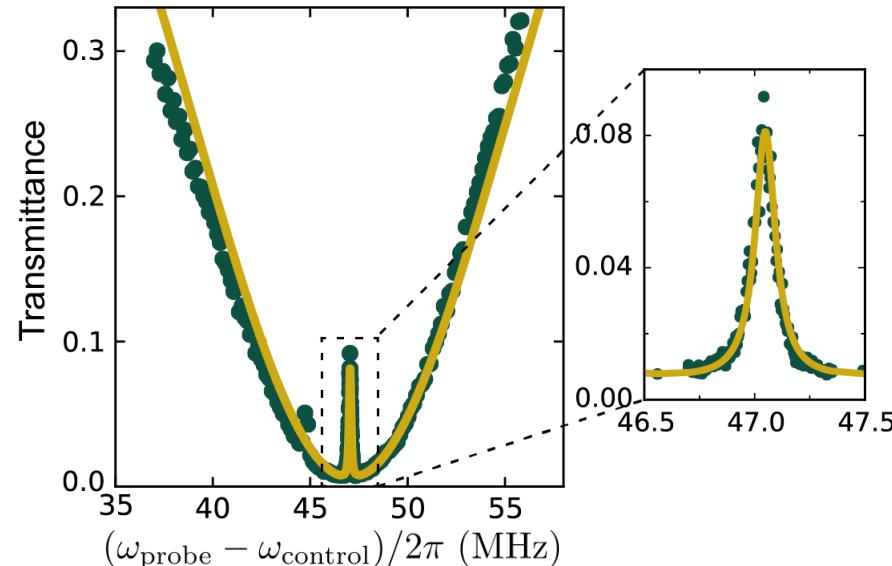
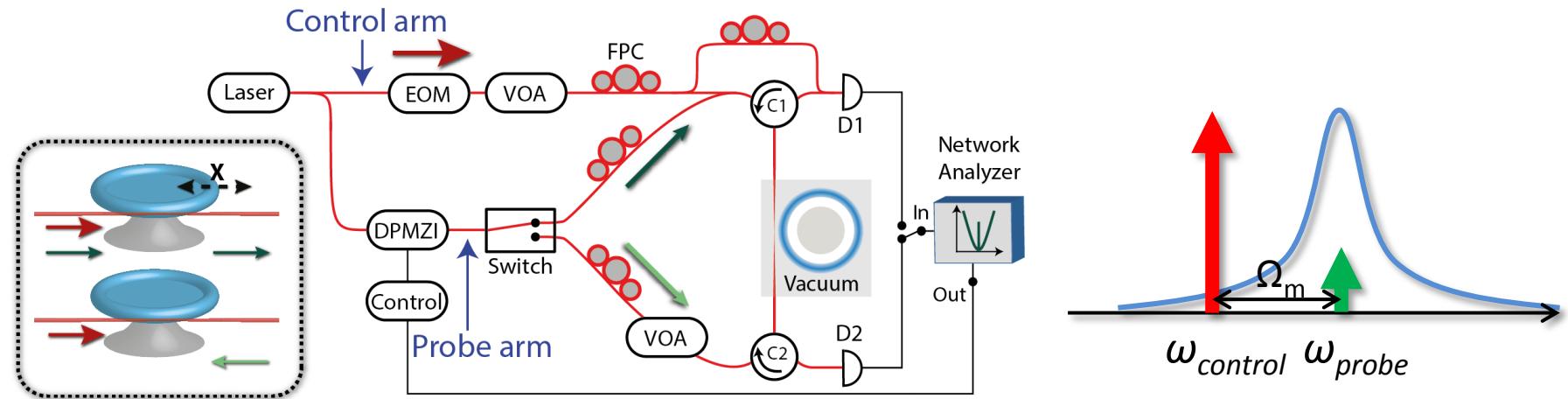
- $\pi/2$  control phase difference achieved by pumping from one side



# Quantifying optomechanical nonreciprocity

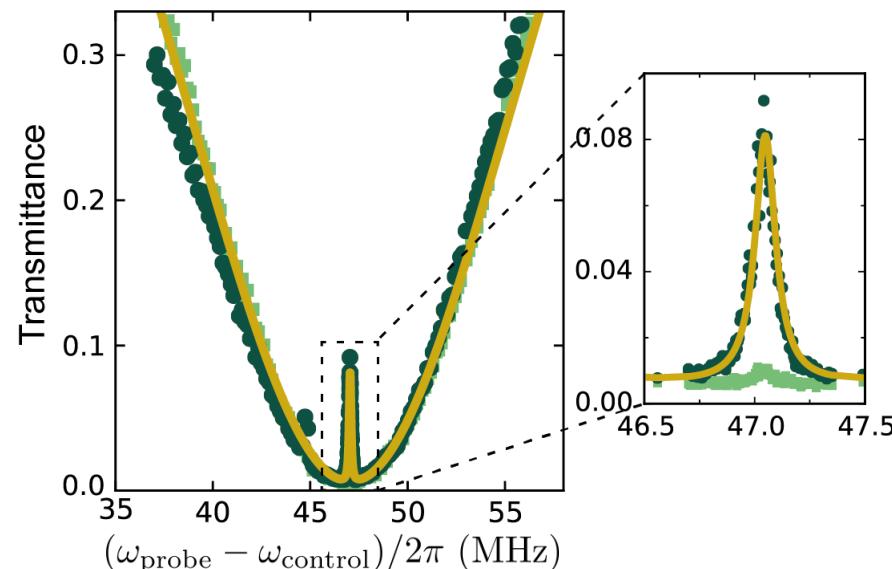
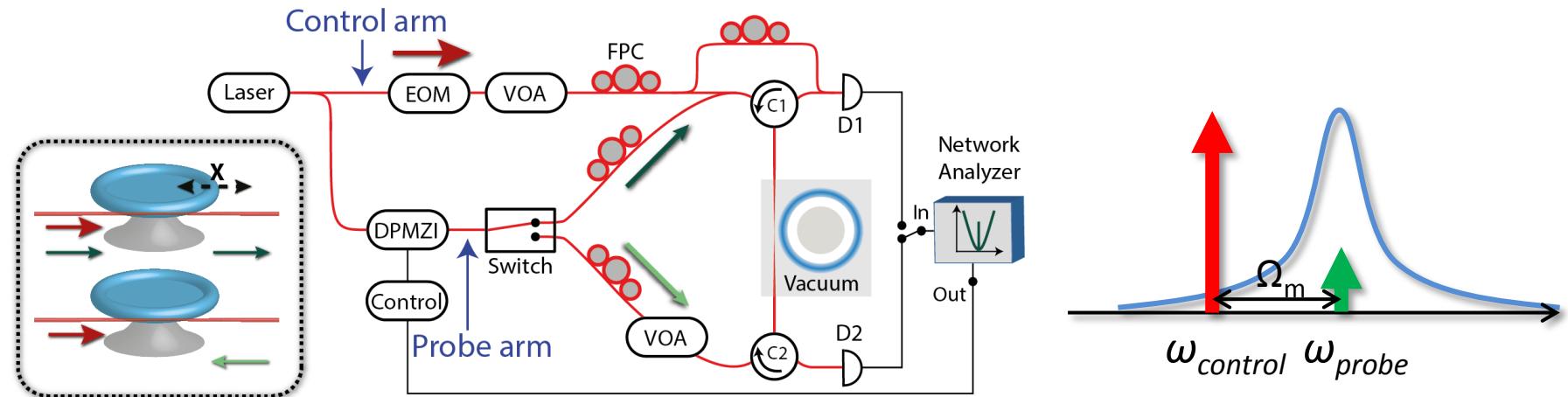


# Quantifying optomechanical nonreciprocity



Optomechanically induced transparency  
Weis et al., *Science* 330, 1520 (2010)

# Quantifying optomechanical nonreciprocity



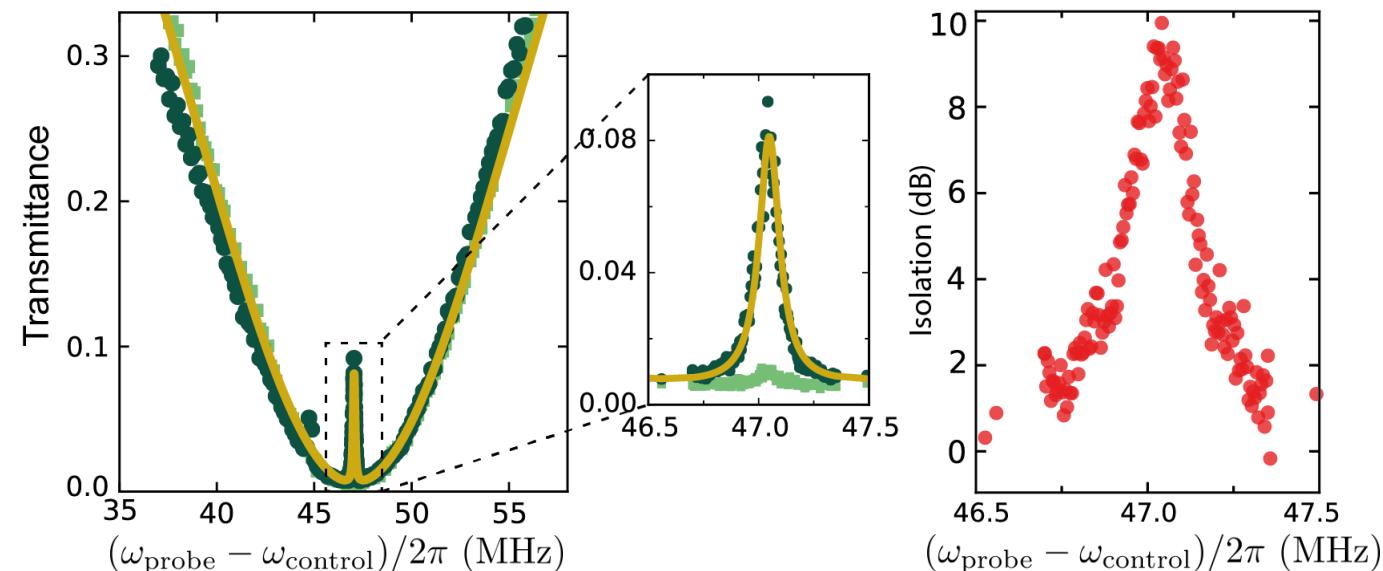
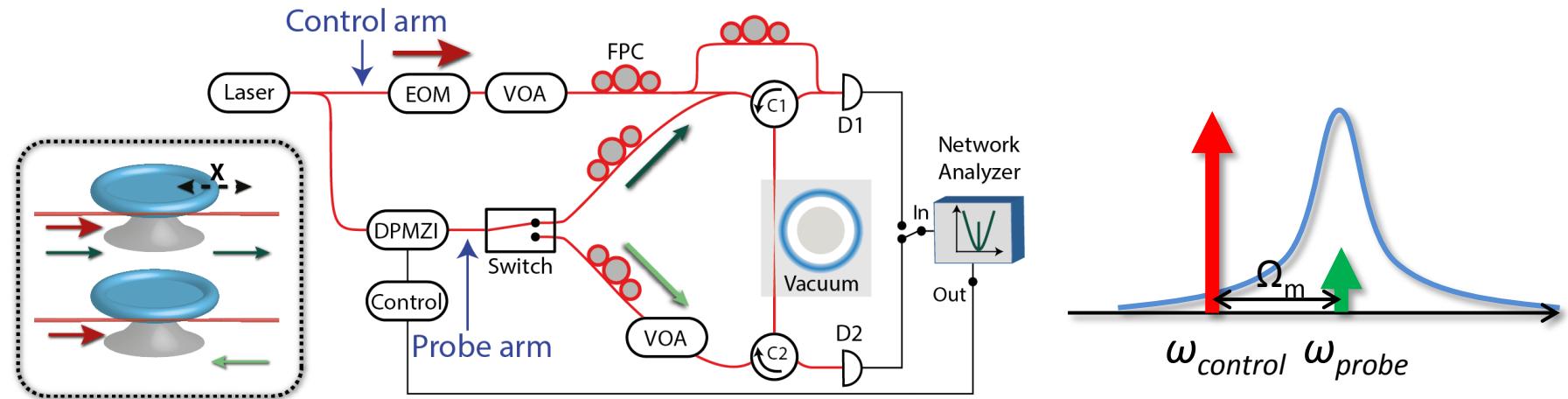
Optomechanically induced transparency

Weis *et al.*, *Science* 330, 1520 (2010)

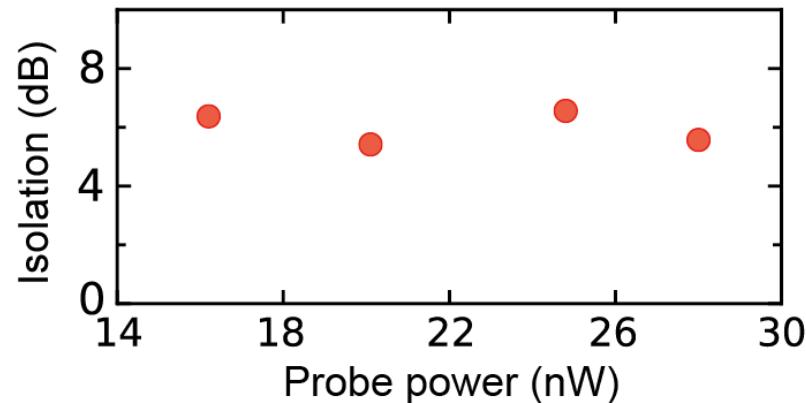
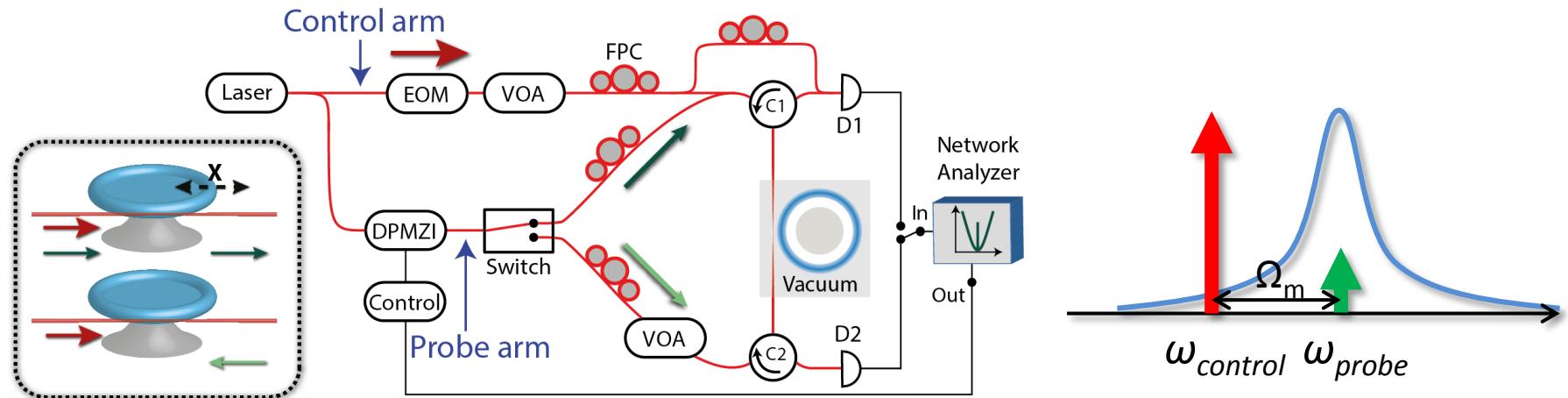
... can be nonreciprocal

proposal: Hafezi&Rabl, *Opt. Express* 20, 7672 (2012)

# Quantifying optomechanical nonreciprocity



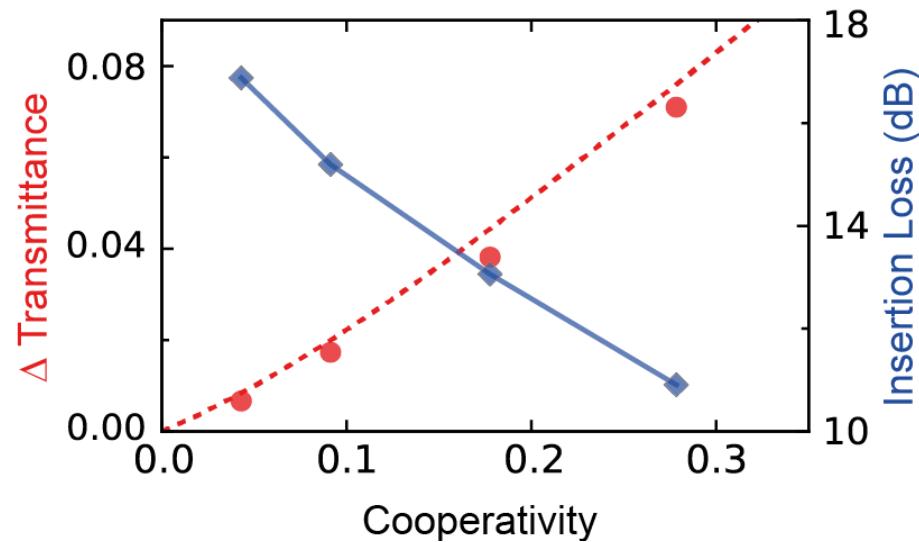
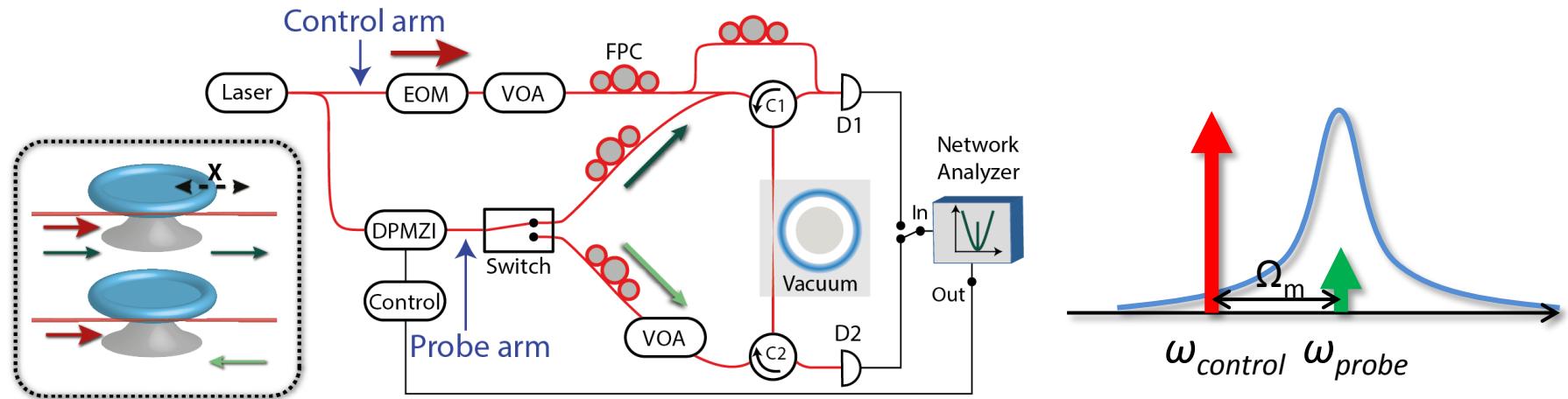
# Isolation – Power dependence and bandwidth



Isolation independent of  
probe power

(unlike Kerr-based asymmetry)  
e.g. Manipatruni, PRL 102, 213901 (2009),  
Fan, Science 335, 447 (2012)

# Isolation – Power dependence and bandwidth



Cooperativity:

$$\mathcal{C} = \frac{4 g_0^2}{\kappa \Gamma_m} \bar{n}_{\text{cav}}$$

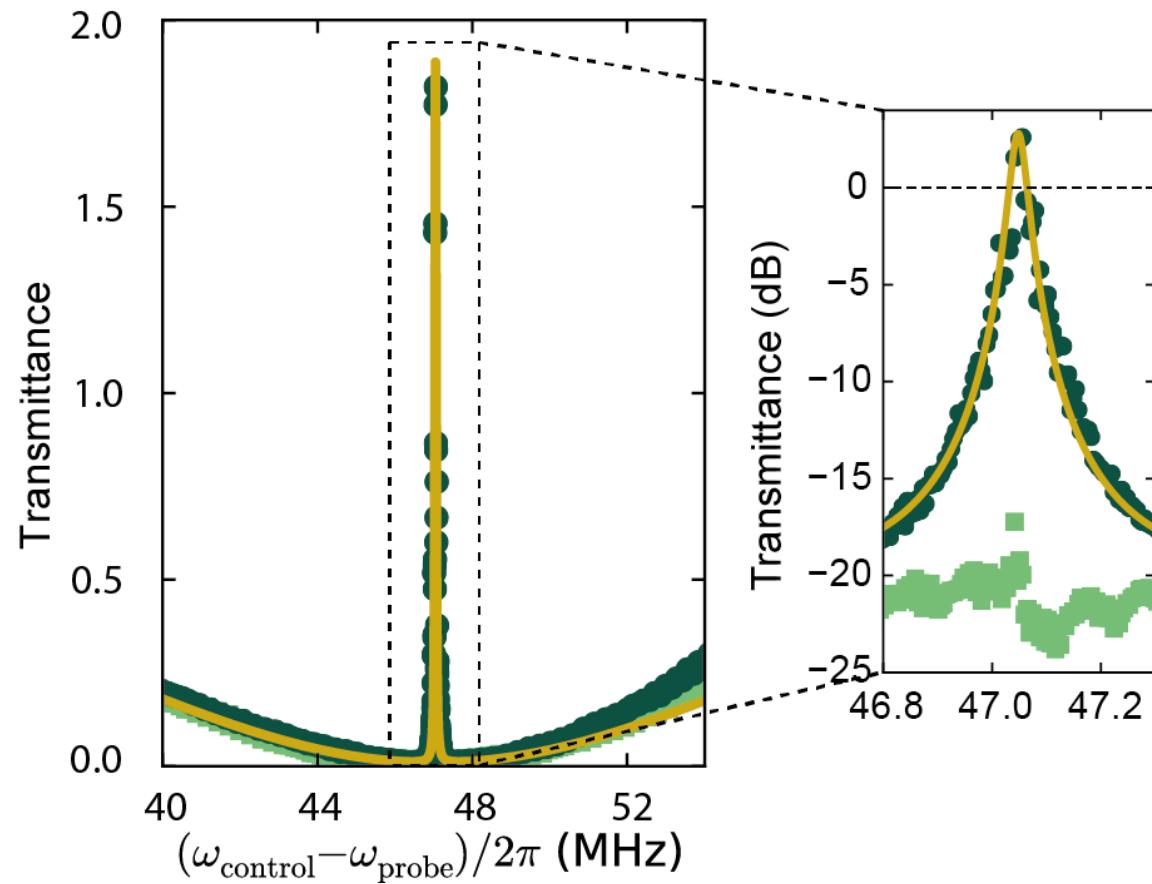
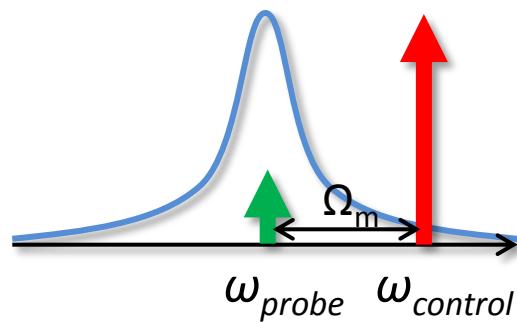
Isolation:

$$\Delta T = \frac{\mathcal{C}^2}{(\mathcal{C}+1)^2}$$

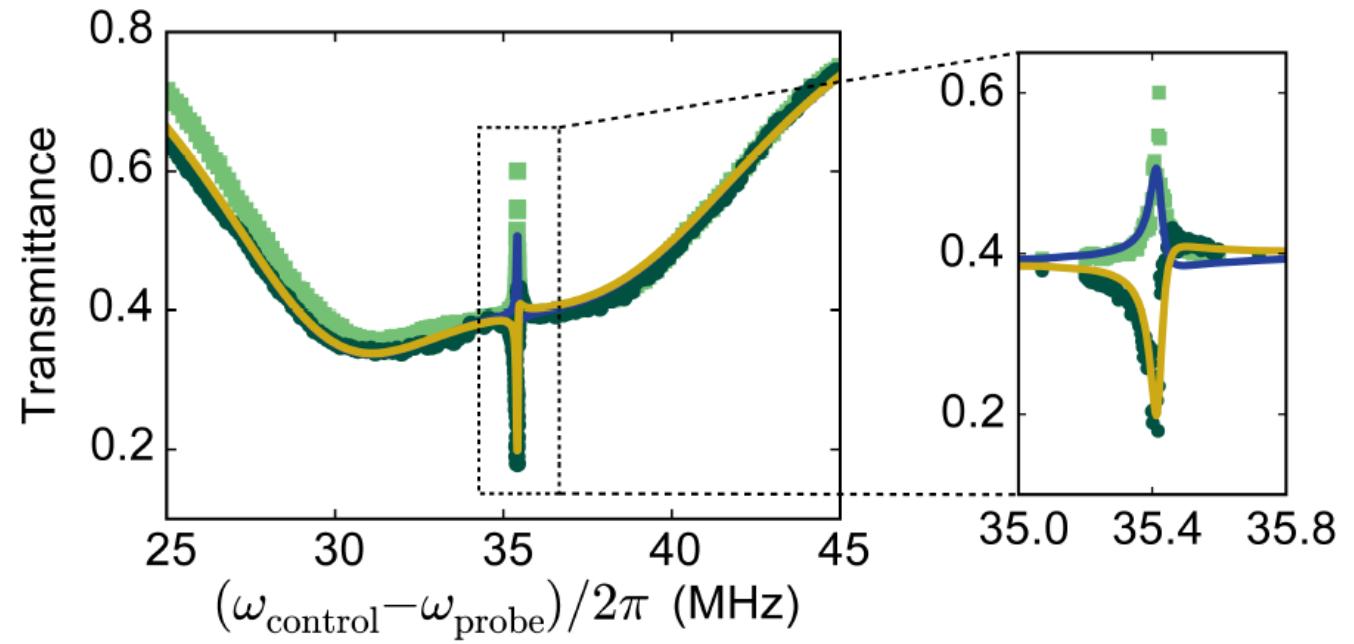
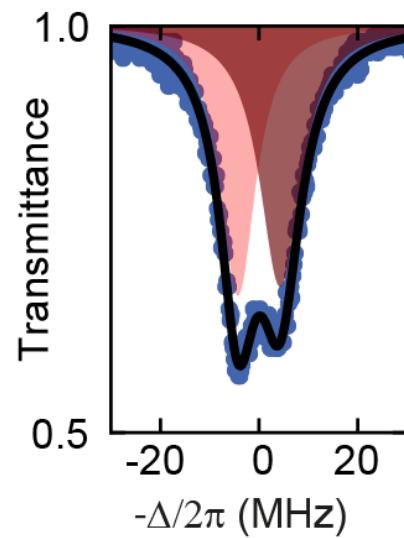
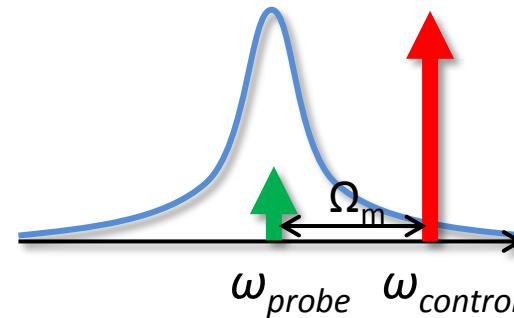
Bandwidth:

$$\Gamma_{\text{eff}} = (1 + \mathcal{C}) \Gamma_m$$

# Nonreciprocal amplification

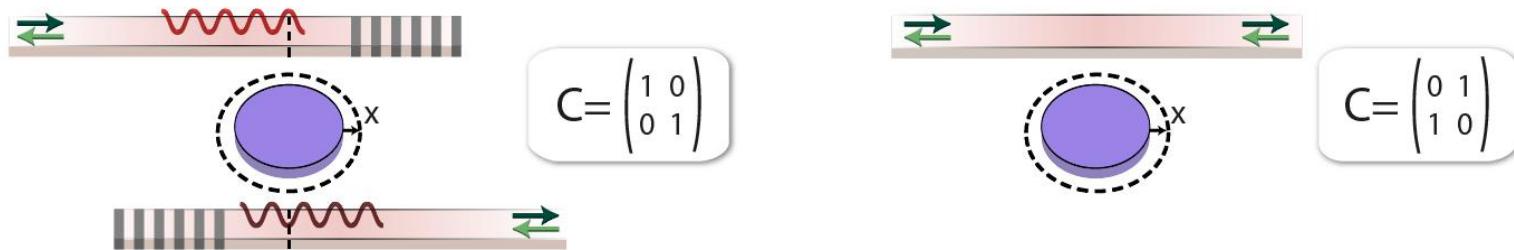


# Nonreciprocity without optical degeneracy

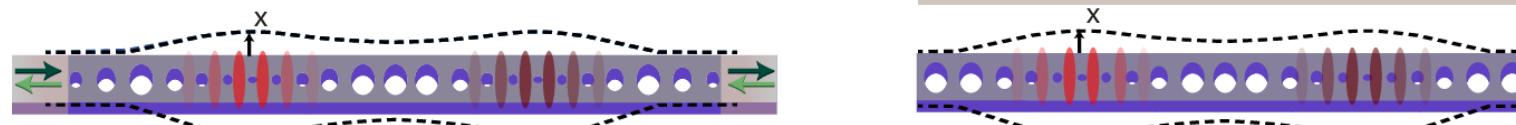


# Outlook

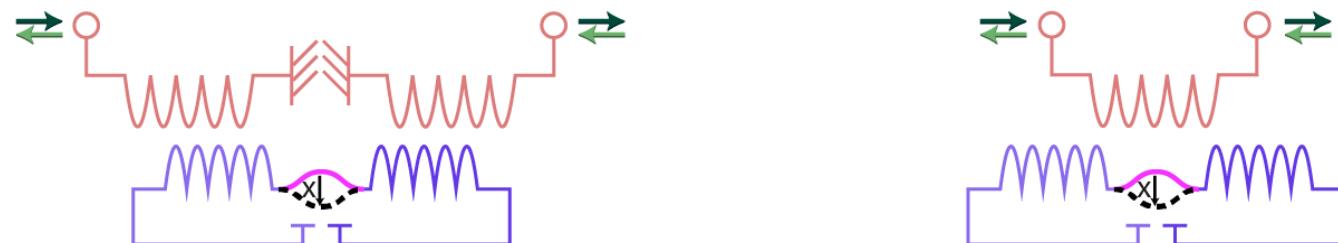
- Ring resonators



- Photonic crystals



- Superconducting circuits



# Conclusions

- Optimal nonreciprocity in two-mode (optomechanical) systems
- Crucial role of control field phase
- Demonstrated isolation in optomechanical ring resonator
- Building blocks of optomechanical metamaterials

$$S_{21} - S_{12} = i \det D \frac{(m_{12} - m_{21})}{\det(M + \omega I)}$$

